



Targeting MYCN upregulates L1CAM tumor antigen in MYCN-dysregulated neuroblastoma to increase CAR T cell efficacy

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ARTICLE INFO

Keywords:

Adoptive T cell therapy
Childhood tumor
Combination therapy
MLN8237
MYCN-driven cancer
L1CAM-CAR T cells
Drug synergism

ABSTRACT

Current treatment protocols have limited success against MYCN-amplified neuroblastoma. Adoptive T cell therapy presents an innovative strategy to improve cure rates. However, L1CAM-targeting CAR T cells achieved only limited response against refractory/relapsed neuroblastoma so far. We investigated how oncogenic MYCN levels influence tumor cell response to CAR T cells, as one possible factor limiting clinical success. A MYCN-inducible neuroblastoma cell model was created. L1CAM-CAR T cell effector function was assessed (activation markers, cytokine release, tumor cytotoxicity) after coculture with the model or MYCN-amplified neuroblastoma cell lines. RNA sequencing datasets characterizing the model were compared to publicly available RNA/proteomic datasets. MYCN-directed L1CAM regulation was explored using public ChIP-sequencing datasets. Synergism between CAR T cells and the indirect MYCN inhibitor, MLN8237, was assessed *in vitro* using the Bliss model and *in vivo* in an immunocompromised mouse model. Inducing high MYCN levels in the neuroblastoma cell model reduced L1CAM expression and, consequently, L1CAM-CAR T cell effector function *in vitro*. Primary neuroblastomas possessing high MYCN levels expressed lower levels of both the L1CAM transcript and L1CAM tumor antigen. MLN8237 treatment restored L1CAM tumor expression and L1CAM-CAR T cell effector function. Combining MLN8237 and L1CAM-CAR T cell treatment synergistically enhanced MYCN-overexpressing tumor cytotoxicity *in vitro* and *in vivo* concomitant with severe *in vivo* toxicity. We identify target antigen downregulation as source of resistance against L1CAM-CAR T cells in MYCN-driven neuroblastoma cells. These data suggest that L1CAM-CAR T cell therapy combined with pharmacological MYCN inhibition may benefit patients with MYCN-amplified neuroblastoma.

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<https://doi.org/10.1016/j.phrs.2025.107608>

Received 20 October 2024; Received in revised form 18 December 2024; Accepted 14 January 2025

Available online 17 January 2025

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1. Introduction

Neuroblastoma is the most common extracranial tumor of childhood, occurring in infants and children [1]. Neuroblastoma arises from neural crest cells in the developing sympathetic nervous system and tumors are located at adrenal glands and associated with the sympathetic ganglia. Half the newly diagnosed cases present as high-risk disease with distant metastases [2]. Conventional multimodal therapies involving chemotherapy (like Cisplatin, Etoposide, Doxorubicin, Cyclophosphamide, Vincristine), surgery and radiotherapy, following another dose-intensive chemotherapy including autologous stem cell transplantation achieve only limited success against high-risk neuroblastoma, with < 30 % overall survival [3,4]. Survival declines to < 20 % in patients with recurrent disease [5]. An innovative therapeutic approach is chimeric antigen receptor (CAR) T cell therapy, which hijacks the immune system to direct T cell effector mechanisms against tumor cells. CARs are synthetic receptors usually constructed by linking a single-chain variable fragment (scFv) from a monoclonal antibody recognizing a tumor-specific protein on the cell surface to a transmembrane domain as well as no (1st generation), one (2nd generation) or more (3rd generation) intracellular T cell costimulatory signaling modules (4-1BB or CD28) and the CD3 ζ T cell signaling domain [6]. Incorporating costimulatory signaling is known to ameliorate CAR T cell function, with 4-1BB costimulation enhancing persistence and CD28 stimulating effector functions [7–9]. Our group developed CAR T cells targeting the glycosylated CE7 epitope of L1CAM [10–12], which is specifically expressed on neuroblastoma cells [11]. The ongoing phase I trial (NCT02311621) treats children with primary refractory or relapsed neuroblastoma with L1CAM-CAR T cells. However, limited responses were observed in the first five enrolled patients, who all had *MYC-N*-amplified disease [11]. This might indicate that *MYCN*-amplification in neuroblastoma cells leads to a malfunction of L1CAM-CAR T cells as a therapy, but this has not yet been investigated.

The International Neuroblastoma Risk Group (INRG) bases neuroblastoma risk stratification on different molecular markers, age group and genetic factors [13]. *MYCN* amplification is the most common recurrent genetic aberration, occurring in ~20 % of neuroblastomas, in which it alone confers high risk and is associated with poor survival and early metastasis [14]. High *MYCN* protein levels in neuroblastomas lacking *MYCN* amplifications also correlate with poor prognosis [15], and in mouse models, drive tumor development [16], linking oncogenic function to high *MYCN* levels. Similar to other members of the *MYC* superfamily, *MYCN* and *LMYC*, *MYCN* is a master-regulator of many hallmarks of cancer, including cell proliferation and differentiation (reviewed in [17,18]). The normal diploid cellular *MYCN* complement regulates these cellular processes, plays an important role during embryogenesis and is found in kidneys, fore- and hindbrain. Birth defects have been associated with mutations in *MYCN* and mouse embryos lacking *mycn* do not survive E11.5 and have shown severe developmental failures [19,20]. When *MYCN* is amplified it creates an oncogene that drives the cancer cells [21,22]. Aberrant tumor suppressor or oncogene expression has only recently been demonstrated to alter a cancer's ability to shape the host immune response to cancer [23,24]. High-risk *MYCN*-amplified tumors are immunologically "cold", promoting a T cell-poor environment. Immunohistochemical quantification in archived neuroblastoma samples confirmed that *MYCN* amplification correlates with significantly lower CD4⁺ and CD8⁺ T cell infiltration [25]. IFNG signaling and T cell-attracting chemokine (CXCL9, CXCL10) release are negatively regulated in primary neuroblastomas with oncogenic *MYCN* levels and *MYCN*-driven murine neuroblastic tumors, indicating oncogenic *MYCN* levels could hamper T cell infiltration and responsiveness [24]. Whether this holds true for engineered T cells used as immunotherapy, such as chimeric antigen receptor (CAR) T cells, has not been investigated to date.

Here we investigated how oncogenic *MYCN* levels influence L1CAM-CAR T cell effector function to better understand the unsatisfactory

clinical outcomes achieved in the ongoing clinical trial (NCT02311621) for patients with primary refractory or relapsed neuroblastoma. We used neuroblastoma cell models with tetracycline-inducible *MYCN* expression, allowing tight regulation of *MYCN* levels to create normal and oncogenic *MYCN* levels in different molecular cellular backgrounds. *MYCN*-mediated influence on tumor escape from CAR T cell therapy was preclinically analyzed in these models and in combination with indirect pharmacological *MYCN* inhibition *in vitro* and *in vivo* as a potential treatment strategy for children with high-risk neuroblastomas harboring *MYCN* amplifications.

2. Material and methods

2.1. Mice

Male and female NOD/SCID/ $\gamma c^{-/-}$ (NSG) mice were group-housed according to institutional guidelines, compliant with national and EU regulations for animal use in research. Age- and sex-matched mice were subcutaneously injected (right flank) on day 0 with induced/uninduced 5×10^6 SK-N-AS-TR-MYCN tumor cells in 50 μ l MatrigelTM (Corning)/50 μ l phosphate-buffered saline. Mice with induced SK-N-AS-TR-MYCN tumor cells received 0.2 mg/ml doxycycline (a more stable form of tetracycline) in 5 % sucrose-supplemented drinking water throughout the experiment. When tumors were palpable, mice were ranked by tumor size on the day of CAR T cell treatment, and treatment groups were randomized and contained mice with similar mean tumor sizes. Mice intravenously received 1×10^7 untransduced or L1CAM-28/ ζ CD3⁺ T cells in 100 μ l phosphate-buffered saline. MLN8237 (15 mg/kg/mouse) was administered by oral gavage twice daily within a treatment regimen of 5 days on/2 days off for combination experiments. Mice were sacrificed when tumors reached a 1.500 mm³ mean. All procedures were compliant with German law and EU recommendations (Directive 2010/63/EU) for experimental design and analysis in pharmacology care. Mouse experiments were approved by the nationally recognized body *Landesamt für Gesundheit und Soziales (LAGeSo)* Berlin with the identifier, G0175/17. Tumor material was processed using a previously described protocol [26].

2.2. Cell lines and models

Neuroblastoma cell lines, SK-N-AS, SK-N-SH and IMR5/75, were cultured in RPMI 1640 (ThermoFisher Scientific) while SK-N-BE(2) and SK-N-DZ were maintained in Dulbecco's Modified Eagle Medium (ThermoFisher Scientific). The *MYCN*-inducible cell models were cultured in the same medium base as parental cell lines, but additionally supplemented with 100U/ml penicillin-streptomycin (Gibco), 5 μ g/ml blasticidin and, for SK-N-AS-MYCN, 500 μ g/ml G418-BC (Merck) or, for IMR5/75-shMYCN, 50 μ g/ml zeocin (ThermoFisher Scientific) as selection antibiotics [27]. Cell models were induced by 2 μ g/ml tetracycline in full medium. Medium for neuroblastoma cell lines and models was Lyented with 10 % heat-inactivated fetal calf serum (Sigma-Aldrich), and cultures were maintained at 37°C in 5 % CO₂. Neuroblastoma cell lines were transduced with a lentivirus encoding a GFP_{firefly} luciferase ephIV7 construct, producing a biophotonic light signal (for use in the cytotoxicity assay) and GFP expression. Cell lines were regularly checked for *Mycoplasma* contamination using the cell-based colometric HEK-Blue detection assay (Invivogen) and passaged maximally 20 times. Cell line identity was confirmed by STR fingerprinted (Eurofins, Luxemburg).

2.3. CAR T cell generation

Magnetic-activated cell separation isolated CD8⁺ and CD3⁺ T cell populations [CD8⁺ T cell isolation kit as previously described [10,28] or Pan T Cell Isolation Kit for CD3⁺ selection; Miltenyi Biotec] from human peripheral blood mononuclear cells from healthy donors (reviewed and

approved by Charité ethics approval EA2/262/20). CD8⁺ L1CAM-CAR T cells (used for *in vitro* experiments) were generated and expanded as previously described [28] and supplied with 0.5 ng/ml IL15 (Miltenyi Biotec) and 50U/ml IL2 (Novartis). CD3⁺ T cells (used for *in vivo* experiments) were supplied with 0.5 ng/ml IL15 (Miltenyi Biotec) and 10 ng/μl IL7 (Miltenyi Biotec) [29]. CAR constructs were linked downstream to a T2A self-cleaving peptide and truncated EGFR for CAR T cell detection and cetuximab immunomagnetic positive selection (enrichment). Untransduced T cells were used as negative controls (for CAR T cell treatment) in experiments.

2.4. Flow cytometric analyses

Cell surface expression of CD3, CD8A, CD4 (all BioLegend) and L1CAM (Miltenyi Biotec) was detected by fluorophore-conjugated monoclonal antibodies on a Fortessa X-20 (BD Biosciences) 4-laser flow cytometer. Truncated EGFR expression was detected using biotinylated cetuximab (Bristol-Myers Squibb) and a phycoerythrin (PE)-conjugated streptavidin antibody (BioLegend). T cell activation was assessed by fluorophore-conjugated monoclonal antibodies detecting CD137 (also known as TNFRSF9; BioLegend) and CD25 (also known as IL2RA; BioLegend). Dead cells were excluded from analyses using the LIVE/DEAD™ Fixable Red Dead Cell Stain Kit (Life Technologies). GFP-expressing neuroblastoma cells were identified through the FITC channel. QuantiBRITE PE calibration beads (BD Biosciences) were used to determine L1CAM antigen density on neuroblastoma cells according to manufacturer's instructions. Data was processed using FlowJo_V10 Software (Tree Star Inc.).

2.5. Cytotoxicity assay

In vitro CAR T cell-mediated tumor cytotoxicity was quantified by luciferase-based reporter assay as previously described [30]. For combinatorial treatments, CAR T cells were added to achieve indicated effector:target (E:T) ratios together with MLN8237 (Axon Medchem) inhibitor concentrations (1, 15, 25, 40, 60, 80, 100, 700 and 2000 nM) added from a 10 mM stock solution (in DMSO) by the Tecan D300e Digital Dispenser (HP) for accurate volume delivery. Xenolight D-luciferin (PerkinElmer Inc.) was added (0.14 mg/ml) after 72 h treatment, and the biophotonic signal quantified (Promega GloMax Multi) after 3 min. Tumor cell lysis mediated by combination treatment was determined using the formula, %specific lysis = $(1 - [RLU_{\text{sample}}/RLU_{\text{max}}]) \times 100\%$, in relation to untreated tumor cells.

2.6. Cytokine assays

IL2 and IFNG release from untransduced and CAR T cells was quantified in media conditioned for 24 h by cocultures with neuroblastoma cell lines as previously described [30]. Neuroblastoma cell lines were seeded for combination treatments at 5×10^5 cells/well into 48-well plates with untransduced or L1CAM-CAR T cells (E:T ratio of 1:10) and 40 nM of MLN8237.

2.7. Quantitative real-time PCR (qRT-PCR)

Using the RNeasy Mini Kit (Qiagen), mRNA was isolated from cells and reverse transcribed into cDNA using the Transcriptor First Strand cDNA Synthesis Kit (Roche). Gene expression was quantified using FastStart Roche SybrGreen (Roche), the StepOnePlus™ Real-Time PCR System (Applied Biosystems) and primer pairs (Eurofins) detecting RNA28S1 (fwd: TTGAAAATCCGGGGGAGAG, rev: ACATTGTTCCAA-CATGCCAG) and L1CAM (fwd: CATCCAGTAGATCCGGAG, rev: CTCAGAGGTTCCAGGCATC). Delta CT was calculated relative to the RNA28S1 housekeeping gene using StepOne Software v2.3 (Applied Biosystems)

2.8. Droplet digital PCR

MYCN copy numbers were determined as previously described [31].

2.9. Immunoblotting

Whole tumor cells were lysed 30 min on ice in 15 mM HEPES, 150 mM NaCl, 10 mM EDTA, 2 % Triton-X100 with Roche protease inhibitor and phosphatase inhibitor cocktails. The RotiQuant Bradford assay (Roth) determined protein concentrations and 10 or 20 μg of protein were separated on 10 % SDS-PAGE for western blotting. Proteins were detected using mouse monoclonal antibodies detecting MYCN (B8.4B; sc-53993, Santa Cruz Biotechnology), L1CAM (UJ127.11; ThermoFisher Scientific) or GAPDH (sc-32233, Santa Cruz Biotechnology) together with horseradish peroxidase-conjugated mouse IgG (diluted 1:5000, Dianova). Proteins were visualized using the Fusion FX system and fold change was calculated using VisionCapt_v16.16d.

2.10. RNA sequencing

Total RNA sequencing libraries were generated as previously described [32]. Briefly, RNA was extracted from tumor cells using Trizol™ (ThermoFisher Scientific), followed by enzymatic ribosomal RNA depletion, then transcribed, fragmented and hybridized using the TrueSeq Stranded mRNA kit (Illumina, San Diego, CA, USA). Libraries were sequenced on a HiSeq4000 sequencer (Illumina) with a paired-end read length of 2x150nt and a sequencing depth of 100 million reads by the Max Delbrueck Center for Molecular Medicine Sequencing Core (Berlin).

2.11. Data analysis

Public microarray expression data (R2 genomics and visualization platform, <http://r2.amc.nl/>) from primary neuroblastoma cohorts [33] were re-analyzed to identify the relationship between MYCN and L1CAM expression. Chromatin immunoprecipitation sequencing (ChIP-seq) GSE80151 [34,35] and GSE94782 [34,35] datasets were downloaded from the Gene Expression Omnibus. Data quality was controlled (FASTQC 0.11.8) and adapters trimmed (BBMap 38.58) before aligning reads to the human hg19 consensus genome assembly (BWA-MEM 0.7.15, default parameters) and removing duplicate reads (Picard 2.20.4). ChIP-seq mappings were quality controlled with normalized & relative strand cross-correlation (NSC & RSC) (Phantom-peakqualtools 1.2.1). Only data with NSC > 1.05 and RSC > 0.8 were further analyzed, following ENCODE recommendations [36]. Reads were extended to 200 bp (BigWig tracks in Deeptools 3.3.0) while masking blacklisted regions (ENCODE) and normalizing 10 bp bins to counts/million before calling peaks (MACS2 2.1.2, default parameters). Combinatorial treatment (CAR T + MLN8237) synergy scores were calculated using R 1.2.5033 and the SynergyFinder package [37]. E:T ratios (CAR T:tumor cells) were transformed into concentrations in MLN8237 IC50 range (1:1 E:T ratio = 1000 nM, 1:2 = 500 nM, 1:5 = 200 nM, 1:10 = 100 nM) to analyze tumor cytotoxicity data (3 biological replicates). Data points for CAR T cells or inhibitor alone and in combination were used as default input to plot data and analyze synergism without bias [R using the Bliss model [38]] and visualize the drug combination dose-response landscape.

2.12. Statistics

Significant differences in CAR T cell activation, cytokine release and tumor cytotoxicity (compared to untransduced T cells) were determined in paired and unpaired Student's *t*-tests. Gene and protein expression data from neuroblastomas and L1CAM surface expression (*in vitro*) were compared using one-way ANOVA. Mouse cohorts treated with L1CAM-specific CAR T cells or untransduced T cells were compared using

Kaplan–Meier survival analysis with log-rank statistics. Statistical analyses were conducted using GraphPad Prism 8 Software (GraphPad). Results were considered significant if $p \leq 0.05$.

3. Results

3.1. Oncogenic MYCN expression impairs L1CAM-CAR T cell effector function

Oncogenic MYCN amplification has been linked to a T cell-poor tumor microenvironment [24], providing a rationale to analyze how MYCN amplification influences CAR T cell efficacy. To study the impact of tightly regulated oncogenic MYCN levels, we used the L1CAM⁺ SK-N-AS neuroblastoma cell line (diploid MYCN) equipped with tetracycline-inducible MYCN expression [27]. High MYCN levels were confirmed following induction with tetracycline and were comparable to amplified neuroblastoma cell lines harboring ~100 MYCN copies per cell (Figure S1A). We assessed the relative ability of cocultured SK-N-AS-MYCN cells (\pm MYCN induction) to activate effector function in L1CAM-CAR T cells harboring either a 4–1BB (L1CAM-BB/ ζ) or CD28 (L1CAM-28/ ζ) costimulatory domain. Inducing oncogenic MYCN levels in the SK-N-AS-MYCN cells reduced activation (lower CD25 and CD137 expression, Fig. 1A; gating strategy shown in Figure S1B) and effector function (IFNG and IL2 release, Fig. 1B) in cocultured L1CAM-CAR T cells but did not trigger any cytokine release by untransduced T cells, confirming no activation in the negative control and antigen dependency of T cell activation. Transduced CAR T cells were enriched by cetuximab immunomagnetic positive selection (binds the truncated EGFR tag) to 97.5 % (L1CAM-BB/ ζ) and 98.6 % (L1CAM-28/ ζ , Figure S1C). Viable tumor cells (\pm MYCN induction) were quantified by reporter assay following CAR T cell exposure to assess how MYCN influences CAR T cell-mediated cytotoxicity. L1CAM-CAR T cell-dependent tumor cytotoxicity was reduced by high-level MYCN induction (Fig. 1C), regardless of the costimulatory domain used in the CAR construct (4–1BB: 25.8 % \pm 1.2 % vs. 36.2 % \pm 3.4 %; CD28: 60.9 % \pm 6.2 % vs. 81.7 % \pm 5.6 %). Untransduced T cell controls killed < 4 % of cocultured tumor cells. To extend our analysis to the *in vivo* situation, subcutaneous tumors derived from inducible SK-N-AS-MYCN tumor cells were initiated in NSG mice (followed by \pm MYCN induction, Figure S1D), then injected with L1CAM-28/ ζ CAR T cells, which responded more strongly in *in vitro* testing (Fig. 1D). MYCN induction alone did not alter tumor growth (Fig. 1E), indicating that MYCN expression level did not influence growth kinetics in our model. CAR T cell injection had no effect on growth of tumors with oncogenic MYCN levels, but delayed growth in 3 of 5 tumors with normal MYCN levels. Median survival (MS) of mice harboring uninduced tumors and challenged with CAR T cells was significantly longer than mice challenged with untransduced T cells (MS: 60 vs 25 days; $p = 0.0048$). Survival was not significantly enhanced (than untransduced T cell controls) after CAR T cell challenge in mice with tumors expressing oncogenic MYCN levels (MS: 29 vs 25 days; Figure S1E). Results using this neuroblastoma model with tightly regulable MYCN levels support that oncogenic MYCN levels in neuroblastoma reduces L1CAM-CAR T cell effector functions.

3.2. Inducing high-level MYCN expression diminishes L1CAM surface expression on neuroblastoma cells

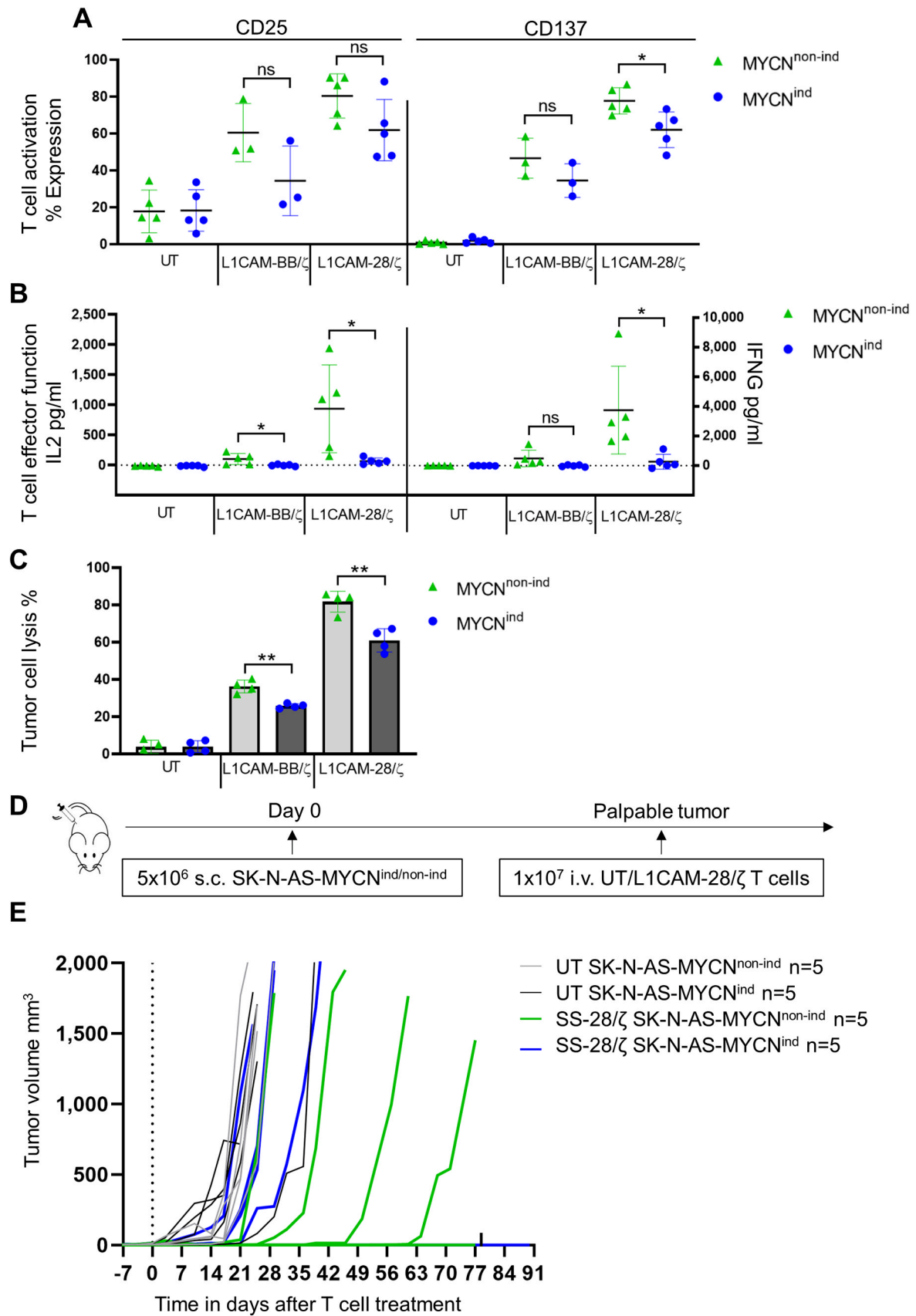
We next sought to unravel the mechanism underlying MYCN-mediated impairment of CAR T cell efficacy. Global transcription profiles were analyzed in the SK-N-AS-MYCN cell model before and 48 h after inducing high MYCN levels. Induction increased MYCN transcript levels by > 6-fold. Oncogenic MYCN levels upregulated (by almost 2-fold) MAX interactor 1, dimerization protein (MXI1), which competes with MYCN for MAX binding to mediate transcriptional repression [39], and downregulated a number of genes expressed on the cell surface (Fig. 2A). These including L1 family members, NFASC, CD177 and

CDH6, as well as our CAR T cell target antigen, L1CAM, which was among the most downregulated genes (>2-fold). Flow cytometric evaluation of L1CAM expression on the SK-N-AS-MYCN cell surface 3 days after inducing high MYCN levels showed L1CAM expression to be diminished by 1.7-fold ($p = 0.0098$; Figure S2A), and bead-based quantification detected a 10-fold reduction in L1CAM molecules on the SK-N-AS-MYCN cell surface (Fig. 2B). We explored whether the negative correlation between oncogenic MYCN expression and L1CAM surface expression was recapitulated in other MYCN-regulable neuroblastoma cell models. SK-N-SH-MYCN cells, harboring the same tetracycline MYCN-inducible system [27], displayed significantly fewer (1.8-fold) L1CAM molecules per cell after inducing high MYCN levels (Fig. 2B). MYCN knockdown using tetracycline-inducible shRNA in IMR5/75-shMYCN cells, which harbor a high-level MYCN amplification, increased L1CAM cell surface molecules by 1.4-fold (Fig. 2B). Changes in L1CAM expression in all 3 inducible models were confirmed on the transcript level (Fig. 2C). We assessed whether the influence of oncogenic MYCN levels was sustained on target antigen expression over time in the SK-N-AS-MYCN model. L1CAM cell surface expression (assessed before and 3, 7 and 14 days after inducing MYCN) was further reduced to 3.6-fold by day 14 of sustained high MYCN levels (Figure S2B), and reduced effector cytokine release by L1CAM-CAR T cells correlated with reduced L1CAM surface expression on neuroblastoma cells (Figure S2C). Our results from MYCN-regulable cell models confirm that oncogenic MYCN levels reduce L1CAM transcription and expression on the neuroblastoma cell surface.

To validate the clinical relevance of our findings from MYCN-regulable neuroblastoma cell models, we re-analyzed datasets for L1CAM expression in primary neuroblastoma samples from 2 independent patient cohorts, each containing tumors harboring or lacking MYCN amplifications. Microarray-based gene expression data profiles from 493 neuroblastomas [40] and RNA sequencing data from 144 neuroblastomas [33] confirmed that L1CAM expression was 2-fold lower in neuroblastomas harboring MYCN amplifications than tumors lacking MYCN amplifications in either cohort (Fig. 2D–E). L1CAM protein abundance was also significantly downregulated ($p = 0.0073$) in MYCN-amplified primary neuroblastomas in publicly available mass spectrometry sequencing data [33] (Fig. 2E, $n = 34$). We also reanalyzed ChIP sequencing data from the MYCN-amplified neuroblastoma cell lines, SK-N-BE(2)-C, Kelly and NGP [34,35], to assess whether MYCN may directly regulate L1CAM expression. MYCN peaks were identified within the L1CAM gene body, suggesting that it may be involved in its regulation (Figure S3). In two of three cell lines, we identified MYCN binding at the promoter, marked by H3K4me3. MYCN peaks also colocalized with an accessible chromatin region in the first intron, as indicated by ATAC-seq, which was also marked by H3K27 acetylation (Figure S3). This indicates that MYCN binds to a putative intronic enhancer in L1CAM, and may be involved in L1CAM regulation. We show that oncogenic MYCN levels achieved either through MYCN amplification or enhanced expression diminished L1CAM target protein on the neuroblastoma cell surface. This target reduction may be the mechanism behind resistance to L1CAM-CAR T cell therapy.

3.3. Exogenous L1CAM surface expression restores *in vitro* CAR T cell effector activity

We explored whether restoring L1CAM expression on neuroblastoma cells is sufficient to rescue MYCN-mediated attenuation of CAR T cell function. Constitutive L1CAM surface expression was achieved in the SK-N-AS-MYCN model by transducing a lentiviral vector encoding the L1CAM transgene. High L1CAM expression, independent of MYCN level, was flow cytometrically confirmed in L1CAM-SK-N-AS-MYCN cells (Fig. 3A and S4). L1CAM-CAR T cells were similarly activated (Fig. 3B), released similar levels of effector cytokines (Fig. 3C) and mediated cytotoxicity (Fig. 3D) when cocultured with L1CAM-SK-N-AS-MYCN cells regardless of MYCN induction. CAR constructs using either



(caption on next page)

Fig. 1. L1CAM-CAR T cell display impaired effector function when stimulated with MYCN^{ind} neuroblastoma cell model *in vitro* and *in vivo*. A. CD25 and CD137 surface molecule expression on viable CD8⁺ L1CAM-CAR and untransduced (UT) T cells after 24 h coculture with SK-N-AS-MYCN^{non-ind/ind} tumor cells (effector to target ratio (E:T) of 1:5, n = 3 L1CAM-BB/ζ, n = 5 L1CAM-28/ζ) measured by flow cytometry. B. IL2 and IFNG cytokine release by CAR T cells cocultured with SK-N-AS-MYCN^{non-ind/ind} cells for 24 h was analyzed using ELISA (E:T 1:5; n = 5 biological replicates with each in technical triplicates). C. SK-N-AS-MYCN^{non-ind} tumor cells were stably transduced with GFP_{ffluc} and cocultured with L1CAM-CAR T cells (E:T 1:5). Tumor cell lysis was determined by a luciferase-based reporter assay relative to an untreated coculture after 48 h (n = 4 biological replicates with each in technical triplicates). D. Scheme of experimental set-up. E. Tumor growth curves of NSG mice harboring either SK-N-AS-MYCN^{non-ind} or -MYCN^{ind} tumors treated with untransduced (UT) and L1CAM-28/ζ CAR T cells (all groups n = 5). Each line represents changes in tumor volume of an individual mouse over time of the experiment. Zero ("0") indicates start of treatment. Zero ("0") indicates start of treatment. mean ± SD, students T-test, ns = not significant, *, p ≤ 0.05; **, p ≤ 0.01.; UT=untransduced.

costimulatory domain achieved similar activities. These data support that enforcing L1CAM expression is sufficient to rescue CAR T cell efficacy against the tumor cells even in the presence of the escape mechanism driven by oncogenic MYCN levels.

3.4. MLN8237 treatment enhances neuroblastoma L1CAM expression to boost L1CAM-CAR T cell efficacy

We investigated whether pharmacologically inhibiting MYCN activity could produce the same effect as enforcing L1CAM expression, and restore L1CAM-CAR T cell neuroblastoma cytotoxicity. The alpha-helical structure of the MYCN transcription factor has prevented development of direct MYCN-targeting agents so far. MLN8237 is a small molecule that indirectly inhibits MYCN by targeting the aurora A kinase (AURKA) to drive MYCN degradation (Fig. 4A) [41]. MLN8237 treatment (80, 800 nM) dose-dependently reduced MYCN levels in SK-N-AS-MYCN cells (± MYCN induction, Figure S5A). MYCN reduction in SK-N-AS-MYCN cells (± MYCN induction) treated with the lower MLN8237 dose enhanced L1CAM surface expression by 1.2-fold, while treatment with 800 nM MLN8237 enhanced L1CAM surface expression by 1.4-fold (low MYCN levels) and 1.6-fold (high MYCN levels, Fig. 4B). Since oncogenic MYCN levels appeared to impact CAR T cells utilizing CD28 costimulation slightly more strongly (Fig. 1) and L1CAM-28/ζ CAR T cells were previously shown to be more effective against solid tumors [42], further testing was performed only with this CAR T cell product. MLN8237 treatment did not alter IL2 or IFNG cytokine release from CAR T cells exposed to SK-N-AS-MYCN (± MYCN induction) cells (Figure S5B). The combined effect of MLN8237 treatment (8 doses, range: 15–2000 nM) with L1CAM-CAR T cells (5 E:T ratios, range: 1:1–1:20) was tested on cocultured SK-N-AS-MYCN cells (± MYCN induction), using the Bliss independence model [38] to determine additive, synergistic or antagonistic effects. Our drug combination required a model that can calculate the synergism of drugs that act independently of each other. MLN8237 targets aurora a kinase, which leads to proteasomal MYCN degradation, an intracellular mechanism of action. Whereas, L1CAM-CAR T cells target the L1CAM tumor-associated antigen on the neuroblastoma cell surface. The Bliss model was selected because by definition, this model calculates synergism of drugs that act on independent targets, meaning the relative effect of one drug at a particular concentration is independent of the other drug [43]. The combination of both low-dose treatments enhanced tumor cytotoxicity against tumor cells with oncogenic MYCN levels. (Fig. 4C-D). To illustrate effects on SK-N-AS-MYCN cells with oncogenic MYCN levels more clearly, we depict each therapy alone and in combination at the peak synergy score (E:T = 1:10, 40 nM MLN8237, Fig. 4E), based on absolute inhibitory values of individual concentrations used in combinational treatment (Figure S5C-D). At the peak synergy score, combining treatments significantly increased tumor cytotoxicity (74.7 ± 6.1 %), while single treatments with CAR T cells (29.7 ± 11.1 %, p = 0.0037) or MLN8237 (46.4 ± 11.0 %, p = 0.018) achieved only limited cytotoxicity. Combining MLN8237 treatment with CAR T cells did not provide a significant benefit against SK-N-AS-MYCN cells with normal MYCN levels. Pharmacologically inhibiting MYCN activity works in concert with L1CAM-CAR T cell-directed cytotoxicity against SK-N-AS-MYCN cells with oncogenic MYCN levels, resulting in significantly enhanced tumor cell lysis *in vitro*.

We tested the combined L1CAM-CAR T cell and MLN8237 treatment against xenograft SK-N-AS-MYCN tumors with maintained oncogenic MYCN levels (doxycycline in drinking water) in our immunodeficient NSG mouse model. Once palpable tumors were detected, T cells (L1CAM-28/ζ CAR or untransduced control T cells) were intravenously injected once into mice that either received MLN8237 or not by oral gavage twice daily in a cotreatment course of up to 90 days (Fig. 4F). Inhibiting MYCN activity alone delayed tumor growth in 3 of 5 mice and improved median survival (MS) to 43 days, while L1CAM-CAR T cells alone did not delay tumor growth or improve survival compared to control mice treated with untransduced T cells (MS=29 days and 25 days, respectively; Fig. 4G; Figure S5E). Combining L1CAM-CAR T cells with MLN8237 eradicated tumors in 3 of 5 mice and improved MS to 42 days. However, MLN8237 treatment caused severe toxicity in mice with minimal tumor burden, but resulting in the need to sacrifice 4 mice (1 treated with untransduced T cells + MLN8237 3 days after T cell injection, 2 treated with L1CAM-CAR T cells + MLN8237 14 days after T cell injection; 1 treated with MLN8237 after 25 days of treatment) and preventing statistical analysis of the combination effect on survival. This experiment revealed that although MLN8237 causes severe toxicity *in vivo*, overall survival appeared to improve by combining L1CAM-CAR T cells with inhibition of MYCN activity.

MYCN amplification may create a different cell background environment than raising MYCN expression to oncogenic levels alone in the MYCN-inducible model. We extended testing of combined treatment to 3 neuroblastoma cell lines harboring different MYCN copy numbers, IMR5/75 (112 copies), SK-N-DZ (130 copies) and SK-N-BE(2) with 487 copies (Figure S1A), all considered MYCN-amplified. MLN8237 treatment significantly increased flow cytometrically detected L1CAM surface expression in IMR5/75 (2.0-fold) and SK-N-DZ (1.9-fold) cells, but not in SK-N-BE(2) cells (Fig. 5A). L1CAM-CAR T cell-directed cytotoxicity was improved by MLN8237 cotreatment in IMR5/75 and SK-N-DZ cells, but not SK-N-BE(2) tumor cells, as would be expected by their lack of L1CAM target enhancement by MLN8237 (Fig. 5B). MLN8237 does not appear to inhibit MYCN activity well in SK-N-BE(2), suggesting there may be some variability in the efficacy of co-treatment depending on the drug selected. Collectively, our findings demonstrate that combining inhibition of MYCN activity with L1CAM-CAR T cell therapy could increase the efficacy of L1CAM-CAR T cell therapy for patients with MYCN-amplified neuroblastoma by counteracting the MYCN-directed tumor escape mechanism that downregulates L1CAM target expression on the tumor.

4. Discussion

Here we show that oncogenic MYCN levels in neuroblastoma impair L1CAM-CAR T cell efficacy by downregulating L1CAM target antigen expression on neuroblastoma cells. Combining the indirect MYCN inhibitor, MLN8237, with CAR T cells enhanced L1CAM-CAR T cell-directed cytotoxicity *in vitro* in neuroblastoma cells expressing oncogenic MYCN levels caused by induced upregulation in the diploid MYCN background or MYCN amplifications. Combined inhibition of MYCN activity and L1CAM-CAR T cell treatment also delayed neuroblastoma outgrowth in mice, in a background of tumor-unrelated toxicity to the MLN8237 inhibitor.

The presence of tumor infiltrating lymphocytes in many tumor

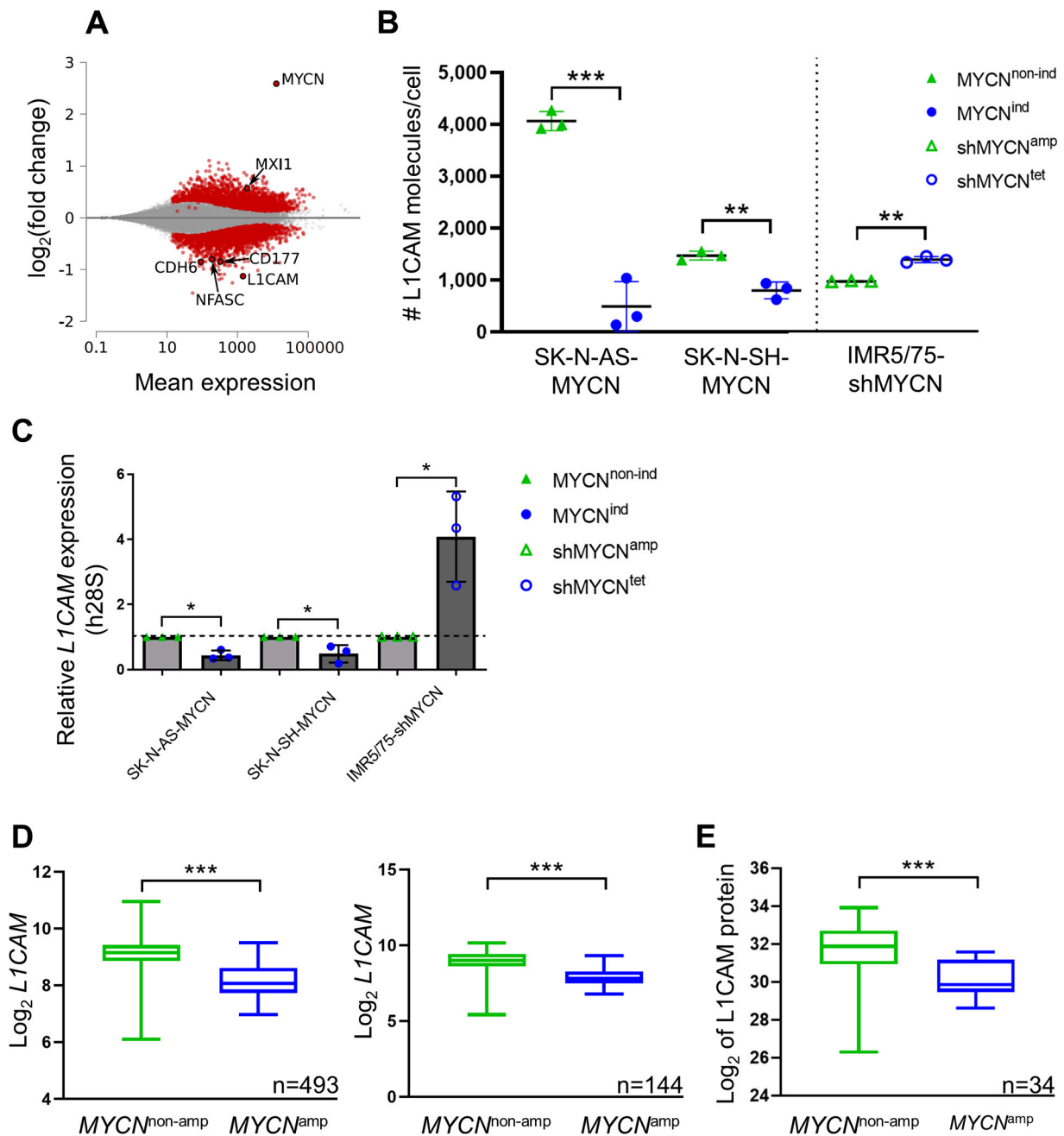
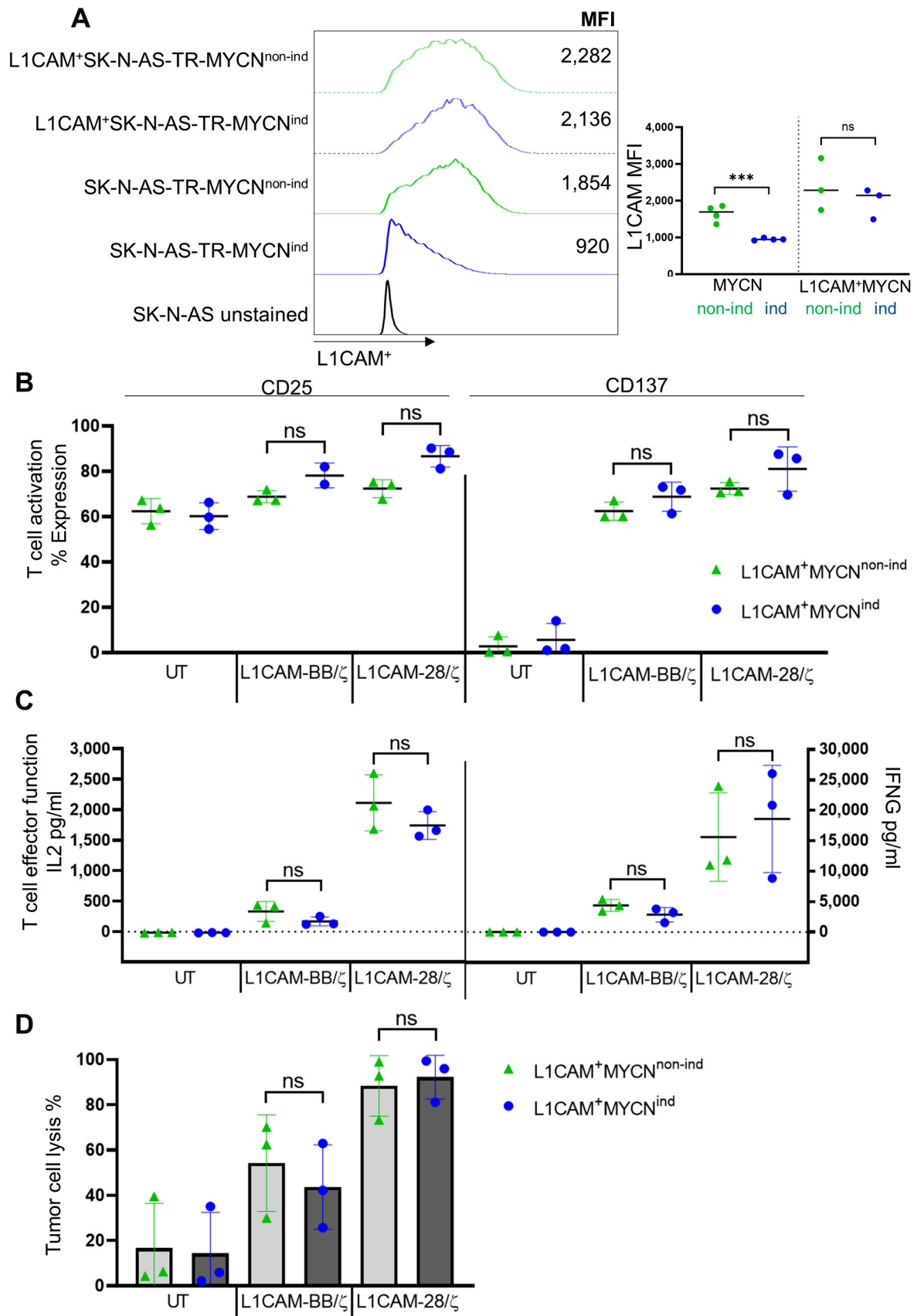


Fig. 2. MYCN overexpression correlates with reduced L1CAM expression on neuroblastoma cells and in patient cohorts. **A.** MA-Plot of RNA-sequencing data using DESeq2 of fold change (\log_2) of MYCN induction in SK-N-AS-MYCN^{non-ind} versus MYCN^{ind} cells after 48 h of tetracycline treatment. Red dots represent up- or downregulated genes upon MYCN induction (n = 3 biological replicates, M (log ratio), A (mean average)). **B.** L1CAM cell surface expression quantified on SK-N-AS-MYCN^{non-ind}, -MYCN^{ind}, SK-N-SH-MYCN^{non-ind}, -MYCN^{ind}, IMR5/75-shMYCN^{amp} and -MYCN^{tet} tumor cells. Data shows L1CAM molecules per cell (n = 3 biological replicates). **C.** Relative expression *L1CAM* mRNA levels in SK-N-AS-MYCN^{non-ind/ind}, SK-N-SH-MYCN^{non-ind/ind} and IMR5/75-shMYCN^{amp/tet} tumor cells relative to housekeeper h28S. **D.** Gene-expression data from two patient cohort represents \log_2 fold change of *L1CAM* expression in a cohort of 498 neuroblastoma patients, without (*MYCN*^{non-amp} n = 401) and with *MYCN* amplification (*MYCN*^{amp} n = 92, n = 5 no *MYCN* status available, not included, ANOVA p = 4.53e-30) and in a cohort of 144 neuroblastoma patients, without (*MYCN*^{non-amp} n = 104) and with *MYCN* amplification (*MYCN*^{amp} n = 40, ANOVA p = 2.63e-12) [33]. **E.** Patient data from Hartlieb et al. represents \log_2 change of L1CAM protein expression in a cohort of 34 neuroblastoma patients, without (*MYCN*^{non-amp} n = 22) and with *MYCN* amplification (*MYCN*^{amp} n = 12, ANOVA p = 7.31e-3) [33].

entities positively correlates with improved clinical outcome (reviewed in [44], [25,45]). A T cell-poor microenvironment, with reduced IFNG signaling and chemokine activity (CXCL9 and CXCL10), characterizes oncogene-driven, *MYCN*-amplified neuroblastoma [24]. This tumor environment is expected in the first five patients treated in the ongoing phase I clinical trial investigating L1CAM-CAR T cells, since *MYCN* amplifications were documented in diagnostic samples from all five patients [11], driving our aim to investigate how oncogenic *MYCN*

levels impact CAR T cell efficacy. Here we demonstrate that oncogenic *MYCN* levels in neuroblastoma cells impair activation (reduced CD25 and CD137 molecules on T cells) and cytotoxic potential of L1CAM-CAR T cells to reduce effector function. Particularly IFNG effector cytokine release by L1CAM-CAR T cells declined severely with high *MYCN* levels, adding an immunosuppressive function to *MYCN* oncogene-driven tumor cells already previously shown to have a poor IFNG pathway activity by Layer et al. [24]. Here, raising *MYCN* to oncogenic levels



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Fig. 3. Stable L1CAM expression restores CAR T cell effector function against tumor cells with MYCN overexpression. A. L1CAM surface expression was analyzed on SK-N-AS-MYCN tumor cells engineered to express L1CAM under a strong constitutively active promoter EF1A (L1CAM⁺SK-N-AS-MYCN). Cells were either cultured without (MYCN^{non-ind}) or with 2 μg/ml tetracycline (MYCN^{ind}). Exemplary flow cytometry staggered histogram plots per condition and dot blots representing L1CAM MFI are shown (n = 3 biological replicates). Representative staggered histogram of flow cytometry analysis shows L1CAM expression on L1CAM⁺ and SK-N-AS-MYCN^{non-ind/ind} cells. MFI of L1CAM expression of individual experiments is represented as dot blots (n = 3). B. Activation marker expression levels on L1CAM-CAR T cells after 24 h coculture with L1CAM⁺SK-N-AS-MYCN^{non-ind} or -MYCN^{ind} cells (E:T 1:5; n = 3 biological replicates). C. Quantification of cytokine release after a 24 h coculture of L1CAM⁺SK-N-AS-MYCN^{non-ind} -MYCN^{ind} tumor cells with L1CAM-specific CAR T cells (E:T 1:5; n = 3 biological replicates in technical triplicates). D. L1CAM⁺SK-N-AS-MYCN^{non-ind/ind} tumor cell lysis assay after coculture with L1CAM-specific CAR T cell was determined by a luciferase-based reporter assay relative to an untreated coculture after 48 h (E:T 1:5, n = 3 biological replicates in technical triplicates). Mean ± SD, students T-test, ns = not significant.

caused faster outgrowth in xenotransplanted tumors treated with L1CAM-CAR T cells and reduced mouse survival. Since MYCN-amplified tumors are known to harbor myeloid-derived suppressor cells and tumor-associated macrophages, which are immunosuppressive [46,47], the tumor microenvironment could be contributing to the negative effect exerted on L1CAM-CAR T cells via oncogenic MYCN levels in the tumor cells. This cannot be tested in an immunocompromised NSG mouse model and is, therefore, a limitation in our study. We demonstrated that oncogenic MYCN drives L1CAM target antigen reduction and identified an inverse correlation of L1CAM surface expression and MYCN overexpression or MYCN amplification in neuroblastoma cell lines and patient data. This was in contrast to data from Rached *et al.* who reported that L1CAM knockdown reduced MYCN expression in MYCN-amplified IMR-32 neuroblastoma cells, with reductions in proliferation, migration and tumor sphere formation [48]. CAR T cell effector function strongly depends on abundance of target antigen and is impaired when antigen expression levels decline below a certain threshold [49–51]. Watanabe *et al.* demonstrated that CD20-CAR T cells effectively lysed tumor cells expressing ~200 CD20 molecules/cell but required ~5000 CD20 molecules/cell to trigger effector cytokine production and T cell proliferation [52]. Interestingly, Walker *et al.* showed that IL2 secretion is dependent on a higher surface molecule abundance of ~60,000 target molecules per tumor cell compared to IFNG secretion with 30,000 target molecules per tumor cell, when using ALK-specific CAR T cells [49]. We observed a dependency of L1CAM molecules per tumor cell on cytokine release and cytotoxicity, similarly to that shown by Watanabe *et al.* [53]. The reduction in L1CAM molecules from 4000 molecules/cell on SK-N-AS-MYCN^{non-ind} to 500 molecules/cell on SK-N-AS-MYCN^{ind} tumor cells could be correlated with extremely poor IFNG and almost no IL2 cytokine release by CAR T cells. Whereas L1CAM-CAR T cells were still able to lyse tumor cells with lower L1CAM-antigen expression. Nevertheless, cytotoxicity was downregulated against MYCN-overexpressing tumor cells compared to MYCN-non-amplified tumor cells as shown by *in vitro* assays. The discrepancy that the threshold for L1CAM-specific CAR T cells was lower than for CD20-specific [52] or ALK-specific [49] CAR T cells, can also depend on the affinity of the antibody-derived scFv or the CAR construct which can be altered by the spacer length or the costimulatory or transmembrane domain used [54,55]. To our knowledge, this finding provides the first evidence that MYCN contributes to tumor escape from L1CAM-specific CAR T cells by downregulating the target antigen decreasing responsiveness or even causing primary resistance to L1CAM-CAR T cell therapy.

To date, little is known about how MYCN might regulate L1CAM, or whether it is directly interfering with L1CAM-directed transcription. Despite the fact that L1CAM is known to drive tumorigenesis in multiple tumor entities (non-small cell lung cancer, cholangiocarcinoma, ovarian carcinoma) by triggering migration and proliferation in tumors cells [56–58], L1CAM expression in neuroblastoma was correlated with beneficial overall survival by immunohistochemical- and mRNA-based analysis in tumor samples from 66 patients [59]. This finding supports the identified inverse correlation of L1CAM surface protein expression and MYCN overexpression in multiple cell lines in the research we present here and of re-analyzed patient data sets. It has been shown that MYC can regulate 15 % of all human genes [60]. Therefore, we examined whether MYCN binds L1CAM promoter regions at E-box sequences,

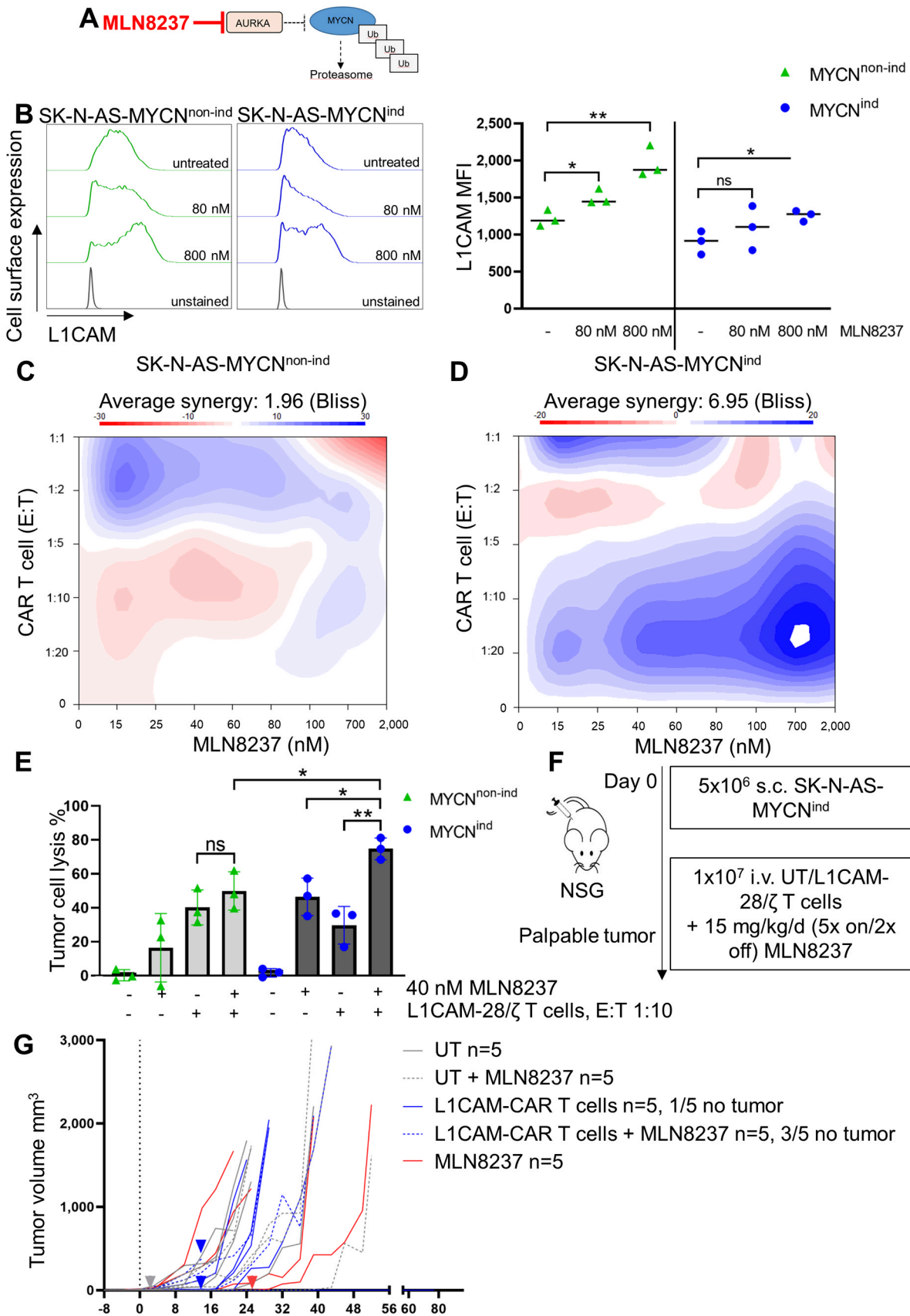
5'-CACGTG-3' or 5'CANNTG-3', where MYCN can bind MYCN canonical target genes [61]. MYCN-binding consensus sequences were not present in the L1CAM promoter (data not shown). This was further supported by re-analysis of ChIP sequencing data of MYCN-amplified neuroblastoma cells lines published by Zeid *et al.* [34]. No direct binding of MYCN to promoter regions or transcriptional start sites of L1CAM were detected, suggesting no direct regulation by MYCN of L1CAM on transcriptional level. MYCN is known to transcriptionally repress genes, including the tumor suppressor genes, TP53 or DKK1 [21,22,62], by binding to MIZ1 or SP1 instead of heterodimerizing with MAX, resulting in inhibition of MIZ1 or SP1 target genes. Whether MYCN is transcriptionally repressing L1CAM and therefore leading to post-translational modification and reduced L1CAM protein expression via binding to MIZ1 or SP1, is beyond the scope of this work and must be further investigated.

Our analyses showed that targeting MYCN with MLN8237 upregulates L1CAM surface expression on neuroblastoma cell lines dependent on MYCN copy numbers, subsequently improving L1CAM-CAR T cell efficacy. We also demonstrate how the Bliss model, only applied to data from drug combinations to date, can be modified to calculate synergism between CAR T cells and pharmacological inhibitors. The combination therapy delayed tumor outgrowth and seemed to improve overall survival of mice harboring tumors with high-level MYCN. However, survival could not be statistically analyzed because too many mice needed to be removed from the experiment due to toxicity. Discomfort of mice was only seen in MLN8237-treated animals (single treatment or in combination with untransduced T cells or CAR T cells), suggesting severe side effects of this drug *in vivo*. Our observation is in line with results by Mossé *et al.*, who detected high toxicities, including myelosuppression, mucositis, neutropenia and depression among others in a recent phase II trial, where patients with recurrent/refractory solid tumors or leukemia received MLN8237 as a single agent [63]. These toxicities have not been observed in the first preclinical evidence in mice, where MLN8237 produced a complete response against pediatric tumors and acute lymphoblastic leukemia independent of MYCN status [64]. Alternative drug combinations with L1CAM-CAR T cells could be used, like indirect MYC family inhibitors, I-BET726 and JQ1, that show reduced immunogenicity profiles in neuroblastoma cells harboring MYCN amplifications, suggesting other MYC family inhibitors could have the same effect as MLN8237 but with lower toxicity profiles [65]. These indirect inhibitors of MYCN, as well as next-generation AURKA inhibitors (e.g. LY3295668) are already used in clinical trials (NCT03936465, NCT04106219) making them available for future combination testing with L1CAM-CAR T cells in clinical trials.

Here we demonstrate that oncogenic MYCN levels impair L1CAM-CAR T cell effector function by reducing target molecules expressed on the neuroblastoma cells, providing a route to tumor immune escape. We provide preclinical evidence that pharmacologically inhibiting MYCN function restores L1CAM target expression on neuroblastoma cells. These findings offer the rationale for a future clinical trial to test the combination of MYCN-targeting drugs with L1CAM-CAR T cells in children with MYCN-amplified neuroblastoma.

Author contributions

LG designed and performed experiments, interpreted data and wrote



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supported by the Wilhelm Sander Stiftung (#2021.115.1). AKü is a participant in the BIH – Charité Advanced Clinician Scientist Pilot Program. SF is a participant in the BIH-Charité Clinician-Scientist Program. All these programs are cofunded by the Charité – Universitätsmedizin Berlin and Berlin Institute of Health. This work was supported by the Else Kröner-Fresenius Foundation (#2017_A51 grant to AKü), *Deutsche Krebshilfe* (#701133871 grant to AKü, AGH and ME; #70112951 grant to AKü, ME, JHS, AE) and Deutsche Forschungsgemeinschaft (SFB-TRR 338/1 2021 –452881907 to AKü and ME; fellowship grant no. 439441203 to SF). The funders had no role in the study design, data collection and analysis, decision to publish or preparation of the manuscript.

CRedit authorship contribution statement

Martin Eilers: Supervision, Methodology. **Anika Klaus:** Data curation. **Gabriele Büchel:** Supervision. **Silke Schwiebert:** Data curation. **Carlotta Caramel:** Data curation. **Konstantin Helmsauer:** Visualization, Formal analysis. **Alice Vitali:** Data curation. **Lena Andersch:** Data curation. **Frank Westermann:** Resources. **Laura Grunewald:** Writing – original draft, Visualization, Validation, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Falk Hertwig:** Validation, Supervision, Formal analysis. **Steffen Fuchs:** Visualization, Methodology, Data curation. **Sebastian G Wicha:** Methodology. **Annette Künkele-Langer:** Writing – review & editing, Validation, Supervision, Resources, Project administration, Methodology, Investigation, Funding acquisition, Conceptualization. **Kathleen Anders:** Writing – review & editing, Supervision, Methodology, Investigation. **Thomas Blankenstein:** Writing – review & editing, Supervision, Investigation. **Johannes H Schulte:** Supervision, Funding acquisition, Conceptualization. **Uta E Höpken:** Supervision, Conceptualization. **Marco Lodrini:** Visualization, Data curation. **Angelika Eggert:** Supervision, Funding acquisition, Conceptualization. **Teresa Straka:** Visualization, Data curation. **Kathy Astrahantseff:** Writing – review & editing. **Anton G Henssen:** Writing – review & editing, Supervision, Conceptualization.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgments

We thank Michael C. Jensen for providing the L1CAM-CAR T cell constructs and Hans-Dieter Volk for providing the NSG mice.

Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at [doi:10.1016/j.phrs.2025.107608](https://doi.org/10.1016/j.phrs.2025.107608).

Data availability

Data will be made available on request.

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